

CANDIDATE'S REPORT

(to be filed by a candidate or his principal campaign committee)

| | | | | | | | | | | | | |
|---|--|---|---|---|--|---|--|--|--|--|--|--|
| 1. Qualifying Name and Address of Candidate JAMES CALDWELL P.O. Box 4934 Baton Rouge, LA 70821 | 2. Office Sought (Include title of office as well) Attorney General Statewide | OFFICE USE ONLY Report Number: 69202 Date Filed: 2/15/2018 Report Includes Schedules: Schedule B | | | | | | | | | | |
| 3. Date of Primary <u>10/24/2015</u> This report covers from <u>1/1/2017</u> through <u>12/31/2017</u> | | | | | | | | | | | | |
| 4. Type of Report: <table style="width: 100%; border: none;"> <tr> <td style="width: 50%;"><input type="checkbox"/> 180th day prior to primary</td> <td style="width: 50%;"><input type="checkbox"/> 40th day after general</td> </tr> <tr> <td><input type="checkbox"/> 90th day prior to primary</td> <td><input type="checkbox"/> Annual (future election)</td> </tr> <tr> <td><input type="checkbox"/> 30th day prior to primary</td> <td><input checked="" type="checkbox"/> Supplemental (past election)</td> </tr> <tr> <td><input type="checkbox"/> 10th day prior to primary</td> <td></td> </tr> <tr> <td><input type="checkbox"/> 10th day prior to general</td> <td><input type="checkbox"/> Amendment to prior report</td> </tr> </table> | | | <input type="checkbox"/> 180th day prior to primary | <input type="checkbox"/> 40th day after general | <input type="checkbox"/> 90th day prior to primary | <input type="checkbox"/> Annual (future election) | <input type="checkbox"/> 30th day prior to primary | <input checked="" type="checkbox"/> Supplemental (past election) | <input type="checkbox"/> 10th day prior to primary | | <input type="checkbox"/> 10th day prior to general | <input type="checkbox"/> Amendment to prior report |
| <input type="checkbox"/> 180th day prior to primary | <input type="checkbox"/> 40th day after general | | | | | | | | | | | |
| <input type="checkbox"/> 90th day prior to primary | <input type="checkbox"/> Annual (future election) | | | | | | | | | | | |
| <input type="checkbox"/> 30th day prior to primary | <input checked="" type="checkbox"/> Supplemental (past election) | | | | | | | | | | | |
| <input type="checkbox"/> 10th day prior to primary | | | | | | | | | | | | |
| <input type="checkbox"/> 10th day prior to general | <input type="checkbox"/> Amendment to prior report | | | | | | | | | | | |
| 5. FINAL REPORT if: <input type="checkbox"/> Withdrawn <input type="checkbox"/> Filed after the election AND all loans and debts paid <input type="checkbox"/> Unopposed | | | | | | | | | | | | |
| 6. Name and Address of Financial Institution (You are required by law to use one or more banks, savings and loan associations, or money market mutual fund as the depository of all REGIONS BANK II City Plaza 400 Convention Street Baton Rouge, LA 70801 | 7. Full Name and Address of Treasurer E. WADE SHOWS 628 St. Louis St. Baton Rouge, LA 70802 | | | | | | | | | | | |
| 9. Name of Person Preparing Report <u>WILLIAM C POTTER</u> Daytime Telephone <u>225-922-4600</u> | | | | | | | | | | | | |
| 10. WE HEREBY CERTIFY that the information contained in this report and the attached schedules is true and correct to the best of our knowledge, information and belief, and that no expenditures have been made nor contributions received that have not been reported herein, and that no information required to be reported by the Louisiana Campaign Finance Disclosure This <u>15th</u> day of <u>February</u> , <u>2018</u> . <u>James Caldwell</u> <u>346-1461</u> Signature of Candidate/Chairperson Daytime Telephone (To be signed by Chairperson <i>only</i> if report by principal campaign committee) | | 8. FOR PRINCIPAL CAMPAIGN COMMITTEES ONLY a. Name and address of principal campaign committee, committee's chairperson, and subsidiary committees, if any (use additional sheets if necessary). On attached sheet | | | | | | | | | | |
| <u>E. Wade Shows</u> Signature of Treasurer <u>---</u> Daytime Telephone | | | | | | | | | | | | |

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FOR PRINCIPAL CAMPAIGN COMMITTEES ONLY

Name and address of principal campaign committee, committee's chairperson, and subsidiary committees, if any (use additional sheets if necessary).

Name and Address of **Principal Campaign Committee**

Chairperson: James Caldwell

COMMITTEE TO RE-ELECT JAMES D. CALDWELL
P.O. Box 4934
Baton Rouge, LA 70821

Name and Address of **Committee's Chairman**

JAMES CALDWELL
P.O. Box 4934
Baton Rouge, LA 70821

SUMMARY PAGE

| RECEIPTS | This Period |
|---|-------------|
| 1. Contributions (Schedule A-1) | \$ 0.00 |
| 2. In-kind Contributions (Schedule A-2) | \$ 0.00 |
| 3. Campaign paraphernalia sales of \$25 or less | \$ 0.00 |
| 4. TOTAL CONTRIBUTIONS (Lines 1 + 2 +33) | \$ 0.00 |
| 5. Other Receipts (Schedule A-3) | \$ 0.00 |
| 6. Loans Received (Schedule B) | \$ 0.00 |
| 7. Loan Repayments Received (Schedule D) | \$ 0.00 |
| 8. TOTAL RECEIPTS (Lines 4 + 5 + 6 + 7) | \$ 0.00 |

| DISBURSEMENTS | This Period |
|---|-------------|
| 9. Expenditures (Schedule E-1) | \$ 0.00 |
| 10. Other Disbursements (Schedule E-2) | \$ 0.00 |
| 11. Loan Repayments Made (Schedule B) | \$ 0.00 |
| 12. Funds Loaned (Schedule D) | \$ 0.00 |
| 13. TOTAL DISBURSEMENTS (Lines 9 + 10 + 11 + 12) | \$ 0.00 |

| FINANCIAL SUMMARY | Amount |
|--|---------|
| 14. Funds on hand at beginning of reporting period <small>(Must equal funds on hand at close from last report or -0- if first report for this election)</small> | \$ 0.00 |
| 15. <i>Plus</i> total receipts this period <small>(Line 8 above)</small> | \$ 0.00 |
| 16. <i>Less</i> total disbursements this period <small>(Line 13 above)</small> | \$ 0.00 |
| 17. <i>Less</i> in-kind contributions <small>(Line 2 above)</small> | \$ 0.00 |
| 18. Funds on hand at close of reporting period | \$ 0.00 |

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SUMMARY PAGE (continued)

| INVESTMENTS | Amount |
|--|---------------|
| 19. Of funds on hand at beginning of reporting period (Line 14, above), amount held in investments (<i>i.e.</i> , savings accounts, CD's, money market funds, etc.) | \$ 0.00 |
| 20. Of funds on hand at close of reporting period (Line 18, above), amount held in investments | \$ 0.00 |

| FINANCIAL SUMMARY | Amount |
|--|---------------|
| 21. Candidate's personal funds (Use of personal funds as either a contribution or loan to the campaign should be reported on Schedules A-1 or B.) | \$ 0.00 |
| 22. Contributions received from political committees (From Schedules A-1 and A-2) | \$ 0.00 |
| 23. All proceeds from the sale of tickets to fundraising events (Receipts from the sale of tickets are contributions and must also be reported on Schedule A-1.) | \$ 0.00 |
| 24. Proceeds from the sale of campaign paraphernalia (Receipts from the sale of campaign paraphernalia are contributions and must also be reported on Schedule A-1 or Line 3, above.) | \$ 0.00 |
| 25. Expenditures from petty cash fund (Must also be reported on Schedule E-1.) | \$ 0.00 |

| SPECIAL TRANSACTIONS - total for the election | This Election |
|--|----------------------|
| 26. Total amount of contributions received from political committees for both the primary and general elections combined since the first report filed for this election. | \$ 0.00 |

| NOTICE |
|---|
| <p>The personal use of campaign funds is prohibited.* The use of campaign funds must be related to a political campaign or the holding of a public office or party position. However, campaign funds may be used to reimburse a candidate for expenses related to his campaign or office, to pay taxes on the interest earned on campaign funds or to replace articles lost, stolen, or damaged in connection with a campaign.</p> <p>Excess campaign funds may be returned to contributors on a pro rata basis, given as a charitable contribution as provided in 26 USC 170(c), given to a charitable organization as defined in 26 USC 501(c)(3), expended in support of or opposition to a proposition, political party, or candidacy of any person, or maintained in a segregated fund for use in future political campaigns or activity related to preparing for future candidacy to elective office.</p> <p>*The prohibition on the personal use of campaign funds does not apply to campaign funds received prior to July 15,</p> |

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SCHEDULE B: LOANS RECEIVED

The following information must be provided for each loan or line of credit received this reporting period, even if it has been repaid. Also, complete this schedule for loans received in prior periods that are still outstanding. Separate loans must be reported separately, even if from the same source. Any personal funds a candidate loans to his campaign must be reported on this schedule.

| 1. Name and address of lender JAMES D. CALDWELL, AAL P.O. Box 43 Tallulah, LA 71284 | 2. a. Date* <u>7/14/2015</u> b. Interest rate <u>0.00</u> %(a.p.r.) c. Amount borrowed* \$ <u>200,000.00</u> d. Balance due \$ <u>200,000.00</u> *For lines of credit, give the date the line of credit was first committed at Item 2a and list only the amount actually drawn at Item 2c. OPTIONAL: Total amount of credit available \$ _____ | | | | | | | | | |
|--|---|----------|-----------|----------|-----------|----------|------|-----------|-----------|------|
| 3. Endorsers/Guarantors | 4. Repayments this period <table style="width: 100%; border-collapse: collapse; margin-top: 5px;"> <thead> <tr> <th style="width: 25%; text-align: center;">Date</th> <th style="width: 50%; text-align: center;">Principal</th> <th style="width: 25%; text-align: center;">Interest</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">4/27/2016</td> <td style="text-align: right;">25000.00</td> <td style="text-align: right;">0.00</td> </tr> <tr> <td style="text-align: center;">4/27/2016</td> <td style="text-align: right;">175000.00</td> <td style="text-align: right;">0.00</td> </tr> </tbody> </table> | Date | Principal | Interest | 4/27/2016 | 25000.00 | 0.00 | 4/27/2016 | 175000.00 | 0.00 |
| Date | Principal | Interest | | | | | | | | |
| 4/27/2016 | 25000.00 | 0.00 | | | | | | | | |
| 4/27/2016 | 175000.00 | 0.00 | | | | | | | | |
| (Enter the full name and address of each person or entity that has endorsed, guaranteed or otherwise secured the loan or line of credit. | (List payments of principal and interest separately. If separate amounts are not known, list all payments under principal.) | | | | | | | | | |