

CANDIDATE'S REPORT

(to be filed by a candidate or his principal campaign committee)

1. Qualifying Name and Address of Candidate

JAMES CALDWELL
P.O. Box 4934
Baton Rouge, LA 70821

2. Office Sought (Include title of office as well as parish, city, town and/or election district.)

Attorney General

Statewide

OFFICE USE ONLY

Report Number: 63232

Date Filed: 2/15/2017

Report Includes Schedules:

Schedule A-1
Schedule A-3
Schedule B
Schedule E-1

3. Date of Primary 10/24/2015

This report covers from 1/1/2016 through 12/31/2016

4. Type of Report:

_____ 180th day prior to primary _____ 40th day after general
_____ 90th day prior to primary _____ Annual (future election)
_____ 30th day prior to primary X Supplemental (past election)
_____ 10th day prior to primary
_____ 10th day prior to general _____ Amendment to prior

5. FINAL REPORT if:

_____ Withdrawn X Filed after the election AND all loans and debts paid
_____ Unopposed

6. Name and Address of Financial Institution
(You are required by law to use one or more banks, savings and loan associations, or money market mutual fund as the depository of all campaign funds.)

REGIONS BANK
II City Plaza
400 Convention Street
Baton Rouge, LA 70801

7. Full Name and Address of Treasurer

E. WADE SHOWS
628 St. Louis St.
Baton Rouge, LA 70802

9. Name of Person Preparing Report WILLIAM C POTTER

Daytime Telephone 225-922-4600

10. WE HEREBY CERTIFY that the information contained in this report and the attached schedules is true and correct to the best of our knowledge, information and belief, and that no expenditures have been made nor contributions received that have not been reported herein, and that no information required to be reported by the Louisiana Campaign Finance Disclosure Act has been deliberately omitted.

This 15th day of February, 2017.

James Caldwell
Signature of Candidate/Chairperson
(To be signed by Chairperson only if report by principal campaign committee)

346-1461
Daytime Telephone

E. Wade Shows
Signature of Treasurer

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Daytime Telephone

8. FOR PRINCIPAL CAMPAIGN COMMITTEES ONLY
a. Name and address of principal campaign committee, committee's chairperson, and subsidiary committees, if any (use additional sheets if necessary).

On attached sheet

FOR PRINCIPAL CAMPAIGN COMMITTEES ONLY

Name and address of principal campaign committee, committee's chairperson, and subsidiary committees, if any (use additional sheets if necessary).

Name and Address of **Principal Campaign Committee**

Chairperson: James Caldwell

COMMITTEE TO RE-ELECT JAMES D. CALDWELL
P.O. Box 4934
Baton Rouge, LA 70821

Name and Address of **Committee's Chairman**

JAMES CALDWELL
P.O. Box 4934
Baton Rouge, LA 70821

SUMMARY PAGE

RECEIPTS	This Period
1. Contributions (Schedule A-1)	\$ 177,000.00
2. In-kind Contributions (Schedule A-2)	\$ 0.00
3. Campaign paraphernalia sales of \$25 or less	\$ 0.00
4. TOTAL CONTRIBUTIONS (Lines 1 + 2 +33)	\$ 177,000.00
5. Other Receipts (Schedule A-3)	\$ 32,640.45
6. Loans Received (Schedule B)	\$ 0.00
7. Loan Repayments Received (Schedule D)	\$ 0.00
8. TOTAL RECEIPTS (Lines 4 + 5 + 6 + 7)	\$ 209,640.45

DISBURSEMENTS	This Period
9. Expenditures (Schedule E-1)	\$ 11,174.74
10. Other Disbursements (Schedule E-2)	\$ 0.00
11. Loan Repayments Made (Schedule B)	\$ 200,000.00
12. Funds Loaned (Schedule D)	\$ 0.00
13. TOTAL DISBURSEMENTS (Lines 9 + 10 + 11 + 12)	\$ 211,174.74

FINANCIAL SUMMARY	Amount
14. Funds on hand at beginning of reporting period (Must equal funds on hand at close from last report or -0- if first report for this election)	\$ 1,534.29
15. <i>Plus</i> total receipts this period (Line 8 above)	\$ 209,640.45
16. <i>Less</i> total disbursements this period (Line 13 above)	\$ 211,174.74
17. <i>Less</i> in-kind contributions (Line 2 above)	\$ 0.00
18. Funds on hand at close of reporting period	\$ 0.00

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SUMMARY PAGE (continued)

INVESTMENTS	Amount
19. Of funds on hand at beginning of reporting period (Line 14, above), amount held in investments (<i>i.e.</i> , savings accounts, CD's, money market funds, etc.)	\$ 0.00
20. Of funds on hand at close of reporting period (Line 18, above), amount held in investments	\$ 0.00

SPECIAL TRANSACTIONS - for the reporting period	Amount
21. Candidate's personal funds (Use of personal funds as either a contribution or loan to the campaign should be reported on Schedules A-1 or B.)	\$ 175,000.00
22. Contributions received from political committees (From Schedules A-1 and A-2)	\$ 0.00
23. All proceeds from the sale of tickets to fundraising events (Receipts from the sale of tickets are contributions and must also be reported on Schedule A-1.)	\$ 0.00
24. Proceeds from the sale of campaign paraphernalia (Receipts from the sale of campaign paraphernalia are contributions and must also be reported on Schedule A-1 or Line 3, above.)	\$ 0.00
25. Expenditures from petty cash fund (Must also be reported on Schedule E-1.)	\$ 0.00

SPECIAL TRANSACTIONS - total for the election	This Election
26. Total amount of contributions received from political committees for both the primary and general elections combined since the first report filed for this election.	\$ 0.00

NOTICE

The personal use of campaign funds is prohibited.* The use of campaign funds must be related to a political campaign or the holding of a public office or party position. However, campaign funds may be used to reimburse a candidate for expenses related to his campaign or office, to pay taxes on the interest earned on campaign funds or to replace articles lost, stolen, or damaged in connection with a campaign.

Excess campaign funds may be returned to contributors on a pro rata basis, given as a charitable contribution as provided in 26 USC 170(c), given to a charitable organization as defined in 26 USC 501(c)(3), expended in support of or opposition to a proposition, political party, or candidacy of any person, or maintained in a segregated fund for use in future political campaigns or activity related to preparing for future candidacy to elective office.

*The prohibition on the personal use of campaign funds does not apply to campaign funds received prior to July 15, 1988.

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SCHEDULE A-1: CONTRIBUTIONS (Other than In-Kind Contributions)

The following information must be provided for all contributors to your campaign during this reporting period, except for in-kind contributions. Information on in-kind contributions is reported on SCHEDULE A-2: IN-KIND CONTRIBUTIONS. In Column 1, check if the contributor is a political committee or a party committee. Any personal funds a candidate contributes to his campaign must be reported on this schedule. Personal funds a candidate *loans* to his campaign should be reported on Schedule B. For anonymous contributions, see SCHEDULE F. Totals and subtotals are *optional*. Completion of totals and subtotals may assist in calculating totals that must be reported on the Summary Page.

1. Name and Address of Contributor	2. Contributions this Reporting Period		3. Total this Election
	a. Date(s)	b. Amount(s)	
JAMES CALDWELL P.O. Box 4934 Baton Rouge, LA 70821 POLITICAL COMMITTEE? ☐ PARTY COMMITTEE? ☐	04/27/2016	\$175,000.00	\$175,000.00
HILDERBRAND FARMS JOINT VENTURE 749 West Highway 80 Tallulah, LA 71282 POLITICAL COMMITTEE? ☐ PARTY COMMITTEE? ☐	01/06/2016	\$500.00	\$500.00
J.K FARMS, INC. P.O. Box 496 Tallulah, LA 71282 POLITICAL COMMITTEE? ☐ PARTY COMMITTEE? ☐	01/27/2016	\$1,500.00	\$1,500.00
4. SUBTOTAL (this page)		\$177,000.00	N/A
5. TOTAL (complete only on last page of this schedule)		\$ 177,000.00	N/A
6. CONTRIBUTIONS FROM POLITICAL COMMITTEES:			
SUBTOTAL (this page)		<u>\$0.00</u>	TOTAL (complete only on last page of this schedule) <u>\$ 0.00</u>

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SCHEDULE A-3: OTHER RECEIPTS

This schedule is used to report those receipts that are not "contributions"; that is, monies paid to the campaign that are not given for the purpose of supporting, opposing or otherwise influencing the nomination or election of a candidate to public office. Examples include interest or investment income. Receipts should be reported on this schedule only if they have not been reported elsewhere in this report. The explanation of the receipt should state the reason the payment was made to the campaign.

1. Name and Address of Source	2. Date(s)	3. Explanation(s)	4. Amount(s)
CAPITAL ONE BANK P.O. Box 60599 City of Industry, CA 91716	03/14/2016	Credit Card Rewards Redemption	\$129.30
JAMESTOWN ASSOCIATES 116 Craig Road Manalapan, NJ 07726	04/25/2016	Refund of Unused Air Time	\$32,511.15
5. Total OTHER RECEIPTS during this reporting period			\$ 32,640.45

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SCHEDULE B: LOANS RECEIVED

The following information must be provided for each loan or line of credit received this reporting period, even if it has been repaid. Also, complete this schedule for loans received in prior periods that are still outstanding. Separate loans must be reported separately, even if from the same source. Any personal funds a candidate loans to his campaign must be reported on this schedule.

1. Name and address of lender JAMES D. CALDWELL, AAL P.O. Box 43 Tallulah, LA 71284	2. a. Date* <u>7/14/2015</u> b. Interest rate <u>0.00</u> %(a.p.r.) c. Amount borrowed* \$ <u>200,000.00</u> d. Balance due \$ <u>200,000.00</u> *For lines of credit, give the date the line of credit was first committed at Item 2a and list only the amount actually drawn at Item 2c. OPTIONAL: Total amount of credit available \$ _____									
3. Endorsers/Guarantors	4. Repayments this period <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%; text-align: center;">Date</th> <th style="width: 40%; text-align: center;">Principal</th> <th style="width: 30%; text-align: center;">Interest</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">4/27/2016</td> <td style="text-align: center;">25000.00</td> <td style="text-align: center;">0.00</td> </tr> <tr> <td style="text-align: center;">4/27/2016</td> <td style="text-align: center;">175000.00</td> <td style="text-align: center;">0.00</td> </tr> </tbody> </table>	Date	Principal	Interest	4/27/2016	25000.00	0.00	4/27/2016	175000.00	0.00
Date	Principal	Interest								
4/27/2016	25000.00	0.00								
4/27/2016	175000.00	0.00								
(Enter the full name and address of each person or entity that has endorsed, guaranteed or otherwise secured the loan or line of credit. Also, state the amount of liability for each endorser or guarantor.)	(List payments of principal and interest separately. If separate amounts are not known, list all payments under principal.)									

SCHEDULE E-1: EXPENDITURES

Use this schedule to report information on all campaign expenditures for this reporting period. An "expenditure" is any payment made for the purpose of supporting your election to public office and includes monies spent for the campaign's general operating expenses. Any payments made that are not "expenditures" should be reported on SCHEDULE E-2: OTHER DISBURSEMENTS. Totals and subtotals at bottom of page are *optional*. Completion of totals and subtotals may assist in calculating totals that must be reported on the Summary Page.

1. Name and Address of Recipient	2. Expenditures this Reporting Period		
	a. Date(s)	b. Purpose(s)	c. Amount(s)
AT&T MOBILITY P.O. Box 105262 Atlanta, GA 30348	02/02/2016	Campaign Cell Phone	\$ 222.90
AT&T MOBILITY P.O. Box 105262 Atlanta, GA 30348	06/01/2016	Campaign Cell Phone	\$ 272.17
AT&T MOBILITY P.O. Box 105262 Atlanta, GA 30348	05/02/2016	Campaign Cell Phone	\$ 668.35
AT&T MOBILITY P.O. Box 105262 Atlanta, GA 30348	07/29/2016	Campaign Cell Phone	\$ 213.32
AT&T MOBILITY P.O. Box 105262 Atlanta, GA 30348	08/29/2016	Campaign Cell Phone	\$ 232.45
AT&T MOBILITY P.O. Box 105262 Atlanta, GA 30348	06/28/2016	Campaign Cell Phone Bill	\$ 221.37
ENTERPRISE RENT-A-CAR 1575 Nicholson Dr. Baton Rouge, LA 70802	01/12/2016	Rental	\$ 2,658.93
JOE FULLER 3512 Royal Dr. Alexandria, LA 71302	09/26/2016	Campaign Contribution	\$ 2,500.00
3. SUBTOTAL (optional)			\$6,989.49
4. TOTAL (optional - complete only on last page of this schedule)			

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SCHEDULE E-1: EXPENDITURES

Use this schedule to report information on all campaign expenditures for this reporting period. An "expenditure" is any payment made for the purpose of supporting your election to public office and includes monies spent for the campaign's general operating expenses. Any payments made that are not "expenditures" should be reported on SCHEDULE E-2: OTHER DISBURSEMENTS. Totals and subtotals at bottom of page are *optional*. Completion of totals and subtotals may assist in calculating totals that must be reported on the Summary Page.

1. Name and Address of Recipient	2. Expenditures this Reporting Period		
	a. Date(s)	b. Purpose(s)	c. Amount(s)
POSTLETHWAITE & NETTERVILLE 8550 United Plaza Blvd., Suite 1001 Baton Rouge, LA 70809	04/25/2016	Campaign Accounting and Compliance Services	\$ 3,585.25
REPUBLICAN LEGISLATIVE DELEGATION PO Box 44422 Baton Rouge, LA 70804	05/12/2016	Event Sponsorship	\$ 600.00
3. SUBTOTAL (optional)			\$4,185.25
4. TOTAL (optional - complete only on last page of this schedule)			\$ 11,174.74

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